IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Wholes

n re Application of:

David C. Cushing et al.

Group Art Unit: 3624

Application No: 09/699,503

Examiner: G. R. Akers

Filed: October 31, 2000

Attorney Docket: 2566-0106

For: SYSTEM AND METHOD FOR EXECUTING STRATEGY SECURITY TRADING

June 2, 2003

AMENDMENT UNDER 37 C.F.R. § 1.121

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

GROUP 3600 In response to the outstanding Office Action mailed February 11, 2003, the three for reply having been duly extended until June 11, 2003, by the attached petition, please amend the application as follows:

IN THE CLAIMS:

Please amend claims 1 and 2, and add new/claims 17-19, as follows:

A marked-up copy of the of the amended claims, is attached to this amendment. Material inserted as indicated by underlining (insertions), and material deleted is indicated by brackets ([deletions]).

(Amended) A system for executing trades of securities according to predefined 1. trading strategies, comprising: